

# $\mathcal{P}(\kappa)/\text{NS}_\kappa$ and Stationary Reflection

Jayde Massmann

STUK 17  
2025-11-05

# Outline

- 1 Introduction
- 2 The Mahlo Order
- 3 Forcing

# Table of Contents

1 Introduction

2 The Mahlo Order

3 Forcing

# Large cardinals

It is human nature to want to go higher and higher. This leads to the large cardinal hierarchy, which seems to be a **well-ordered** measuring stick for all possibly consistency strengths.

# Large cardinals

It is human nature to want to go higher and higher. This leads to the large cardinal hierarchy, which seems to be a **well-ordered** measuring stick for all possibly consistency strengths. One can draw a line, below which the properties generally relativize to  $L$ , above which the properties imply  $L$  gets cardinals and cofinalities vastly wrong. This has been formulated as a sharp **dichotomy** by Jensen, using “ $0^\sharp$ ”.

# Large cardinals

It is human nature to want to go higher and higher. This leads to the large cardinal hierarchy, which seems to be a **well-ordered** measuring stick for all possibly consistency strengths. One can draw a line, below which the properties generally relativize to  $L$ , above which the properties imply  $L$  gets cardinals and cofinalities vastly wrong. This has been formulated as a sharp **dichotomy** by Jensen, using “ $0^\sharp$ ”.

- Inaccessible, Mahlo, weakly compact, subtle, ineffable (comfortably compatible with  $V = L$ )

# Large cardinals

It is human nature to want to go higher and higher. This leads to the large cardinal hierarchy, which seems to be a **well-ordered** measuring stick for all possibly consistency strengths. One can draw a line, below which the properties generally relativize to  $L$ , above which the properties imply  $L$  gets cardinals and cofinalities vastly wrong. This has been formulated as a sharp **dichotomy** by Jensen, using “ $0^\sharp$ ”.

- Inaccessible, Mahlo, weakly compact, subtle, ineffable (comfortably compatible with  $V = L$ )
- Measurable, strong, Woodin, superstrong (suddenly,  $L$  must be far from  $V$ )

# Large cardinals

It is human nature to want to go higher and higher. This leads to the large cardinal hierarchy, which seems to be a **well-ordered** measuring stick for all possibly consistency strengths. One can draw a line, below which the properties generally relativize to  $L$ , above which the properties imply  $L$  gets cardinals and cofinalities vastly wrong. This has been formulated as a sharp **dichotomy** by Jensen, using “ $0^\sharp$ ”.

- Inaccessible, Mahlo, weakly compact, subtle, ineffable (comfortably compatible with  $V = L$ )
- Measurable, strong, Woodin, superstrong (suddenly,  $L$  must be far from  $V$ )
- Supercompact, huge, Reinhardt (we have no good theory of the universe’s “fine structure” here)



# Where do we want to focus?

That depends on what you want. Lots of interesting combinatorial hypotheses (e.g. forcing axioms) require corresponding large cardinal hypotheses beyond  $0^\sharp$  to justify their consistency.

# Where do we want to focus?

That depends on what you want. Lots of interesting combinatorial hypotheses (e.g. forcing axioms) require corresponding large cardinal hypotheses beyond  $0^\sharp$  to justify their consistency.

However, today I'm really interested in what goes down at the lower levels: around a **weakly compact** cardinal, at most an **ineffable**.

# The Nonstationary Algebra

Let  $NS_\kappa \subseteq \mathcal{P}(\kappa)$  be the ideal of nonstationary subsets of  $\kappa$  - i.e. those disjoint from some closed unbounded set.  $\mathcal{P}(\kappa)/NS_\kappa$  consists of equivalence classes under  $X \sim Y$  iff  $X \Delta Y \in NS_\kappa$ .  
 $[X] \leq [Y]$  iff  $X \setminus Y \in NS_\kappa$ .

# The Nonstationary Algebra

Let  $NS_\kappa \subseteq \mathcal{P}(\kappa)$  be the ideal of nonstationary subsets of  $\kappa$  - i.e. those disjoint from some closed unbounded set.  $\mathcal{P}(\kappa)/NS_\kappa$  consists of equivalence classes under  $X \sim Y$  iff  $X \Delta Y \in NS_\kappa$ .  
 $[X] \leq [Y]$  iff  $X \setminus Y \in NS_\kappa$ .

- The bottom element,  $\mathbf{0} = [\emptyset] = NS_\kappa$  is the nonstationary ideal.
- The top element,  $\mathbf{1} = [\kappa] = NS_\kappa^*$  is the club filter.
- Equivalence classes inbetween consist solely of stationary, costationary sets (not disjoint from any club but don't contain one either).

# Antichains

Recall a (strong) antichain in a BA is a set of pairwise incompatible elements, where  $x, y$  are *incompatible* if  $x \wedge y = \mathbf{0}$ , ignoring  $x, y = \mathbf{0}$ .

## Theorem

(Solovay) For any stationary  $S \subseteq \kappa$ , there is a family  $\{X_\alpha : \alpha < \kappa\} \subseteq \mathcal{P}(S)$  so that  $X_\alpha$  is stationary and  $X_\alpha \cap X_\beta = \emptyset$  for  $\alpha \neq \beta$ ; in particular  $\mathcal{P}(\kappa)/\text{NS}_\kappa$  has size- $\kappa$  antichains below every nonzero element.

# Antichains

Recall a (strong) antichain in a BA is a set of pairwise incompatible elements, where  $x, y$  are *incompatible* if  $x \wedge y = \mathbf{0}$ , ignoring  $x, y = \mathbf{0}$ .

## Theorem

(Solovay) For any stationary  $S \subseteq \kappa$ , there is a family  $\{X_\alpha : \alpha < \kappa\} \subseteq \mathcal{P}(S)$  so that  $X_\alpha$  is stationary and  $X_\alpha \cap X_\beta = \emptyset$  for  $\alpha \neq \beta$ ; in particular  $\mathcal{P}(\kappa)/\text{NS}_\kappa$  has size- $\kappa$  antichains below every nonzero element.

## Question

Does  $\mathcal{P}(\kappa)/\text{NS}_\kappa$  have size- $\kappa^+$  antichains?

# Yes, usually

## Theorem

(Shelah, Gitik-Shelah) Consistently no, for  $\kappa = \omega_1$ , relative to strong large cardinals (Woodin cardinals). Provably yes for  $\kappa > \omega_1$ .

# Yes, usually

## Theorem

(Shelah, Gitik-Shelah) Consistently no, for  $\kappa = \omega_1$ , relative to strong large cardinals (Woodin cardinals). Provably yes for  $\kappa > \omega_1$ .

$V = L$  implies yes for  $\kappa = \omega_1$  too, since it implies a strong form of CH,  $\diamond$ . Whether CH is enough is **open**. Notice that  $\mathcal{P}(\kappa)/\text{NS}_\kappa$  has a size- $\lambda$  antichain if it has a length- $\lambda$  descending chain.



# Yes, usually

## Theorem

(Shelah, Gitik-Shelah) Consistently no, for  $\kappa = \omega_1$ , relative to strong large cardinals (Woodin cardinals). Provably yes for  $\kappa > \omega_1$ .

$V = L$  implies yes for  $\kappa = \omega_1$  too, since it implies a strong form of CH,  $\diamond$ . Whether CH is enough is **open**. Notice that  $\mathcal{P}(\kappa)/\text{NS}_\kappa$  has a size- $\lambda$  antichain if it has a length- $\lambda$  descending chain.

The items of a descending chain get “thinner” as time goes on, so there being a long one while everything remains stationary is indicative of  $\kappa$  being “large”. Indeed, the first proof that  $\text{NS}_\kappa$  must fail to be “ $\kappa^+$ -saturated” (Baumgartner-Taylor-Wagon) is when  $\kappa$  is “**greatly Mahlo**”, which follows from weak compactness.

# Table of Contents

1 Introduction

2 The Mahlo Order

3 Forcing

# Stationary reflection

However,  $[X_\beta] \leq [X_\alpha]$ ,  $X_\beta \not\sim X_\alpha$  isn't "strong enough" for purposes of actually **defining** large cardinals, which is what I'm interested in here.

## Definition

An  $X \notin \text{NS}_\kappa$  *reflects* if  $X \cap \alpha \notin \text{NS}_\alpha$  for some  $\alpha < \kappa$ . It reflects stationarily often if  $\text{Tr}(X) = \{\alpha < \kappa : X \cap \alpha \notin \text{NS}_\alpha\} \notin \text{NS}_\kappa$ .

Clearly,  $X \subseteq \kappa^+$  cannot reflect if it consists entirely of cofinality- $\kappa$  ordinals ( $X \subseteq S_\kappa^+$ ). Generally,  $\text{Tr}(X)$  is a lot thinner than  $X$ .

# Stationary reflection

However,  $[X_\beta] \leq [X_\alpha]$ ,  $X_\beta \not\prec X_\alpha$  isn't "strong enough" for purposes of actually **defining** large cardinals, which is what I'm interested in here.

## Definition

An  $X \notin \text{NS}_\kappa$  *reflects* if  $X \cap \alpha \notin \text{NS}_\alpha$  for some  $\alpha < \kappa$ . It reflects stationarily often if  $\text{Tr}(X) = \{\alpha < \kappa : X \cap \alpha \notin \text{NS}_\alpha\} \notin \text{NS}_\kappa$ .

Clearly,  $X \subseteq \kappa^+$  cannot reflect if it consists entirely of cofinality- $\kappa$  ordinals ( $X \subseteq S_\kappa^+$ ). Generally,  $\text{Tr}(X)$  is a lot thinner than  $X$ .

## Theorem

(Folklore) The statement "every stationary  $S \subseteq S_\omega^{\omega_2}$  reflects" is equiconsistent with a Mahlo cardinal.

# Stationary reflection at limit cardinals

Another interesting result *re* large cardinals:

## Theorem

(Jensen, Kunen) If  $V = L$ ,  $\kappa$  is weakly compact iff every stationary subset of  $\kappa$  reflects. The converse can fail if  $V \neq L$  (even if  $V = L[A]$  for  $A \subseteq \kappa$ ).

# Stationary reflection at limit cardinals

Another interesting result *re* large cardinals:

## Theorem

(Jensen, Kunen) If  $V = L$ ,  $\kappa$  is weakly compact iff every stationary subset of  $\kappa$  reflects. The converse can fail if  $V \neq L$  (even if  $V = L[A]$  for  $A \subseteq \kappa$ ).

*A priori*, there is no reason for  $\kappa$  to be more than  $\omega$ -Mahlo when every stationary subset of  $\kappa$  reflects, where  $\kappa$  is 0-Mahlo (Mahlo) if the set of regular cardinals below is stationary, and  $\kappa$  is  $\alpha + 1$ -Mahlo if the set of  $\alpha$ -Mahlo cardinals below is stationary. Take conjunctions at limit  $\alpha$ .

# The Mahlo order

Indeed,  $\omega$ -Mahloness is optimal, by forcing to “kill”  $\{\alpha < \kappa : \alpha \text{ is } \omega\text{-Mahlo}\}$  while preserving “every stationary subset reflects” (this is folklore, but this is not how Kunen’s original proof went). Weakly compact cardinals are  $\kappa$ -Mahlo and more.

Now put  $X < Y$  iff  $X, Y$  are stationary and  $[Y] \leq [\text{Tr}(X)]$ . Notice the order is reversed, so any club is now the least element, not the greatest.

# The Mahlo order

Indeed,  $\omega$ -Mahloness is optimal, by forcing to “kill”  $\{\alpha < \kappa : \alpha \text{ is } \omega\text{-Mahlo}\}$  while preserving “every stationary subset reflects” (this is folklore, but this is not how Kunen’s original proof went). Weakly compact cardinals are  $\kappa$ -Mahlo and more.

Now put  $X < Y$  iff  $X, Y$  are stationary and  $[Y] \leq [\text{Tr}(X)]$ . Notice the order is reversed, so any club is now the least element, not the greatest. Surprisingly:

## Theorem

$(\text{Jech}) <$  is well-founded.



# Example

$$S_{\omega}^{\kappa} < S_{\omega_1}^{\kappa} < S_{\omega_2}^{\kappa} < \cdots < \{\alpha < \kappa : \text{cof}(\alpha) = \alpha\} \\ < \{\alpha < \kappa : \alpha \text{ is Mahlo}\} < \cdots < \{\alpha < \kappa : \alpha \text{ is } \alpha\text{-Mahlo}\} < \cdots$$

- $o(\aleph_{\alpha+1}) = \alpha + 1$ .

# Example

$$S_{\omega}^{\kappa} < S_{\omega_1}^{\kappa} < S_{\omega_2}^{\kappa} < \cdots < \{\alpha < \kappa : \text{cof}(\alpha) = \alpha\} \\ < \{\alpha < \kappa : \alpha \text{ is Mahlo}\} < \cdots < \{\alpha < \kappa : \alpha \text{ is } \alpha\text{-Mahlo}\} < \cdots$$

- $o(\aleph_{\alpha+1}) = \alpha + 1$ .
- $o(\kappa) \geq \kappa$  iff  $\kappa$  is (weakly) inaccessible.
- $o(\kappa) > \kappa$  iff  $\kappa$  is Mahlo.

# Example

$$S_{\omega}^{\kappa} < S_{\omega_1}^{\kappa} < S_{\omega_2}^{\kappa} < \dots < \{\alpha < \kappa : \text{cof}(\alpha) = \alpha\} \\ < \{\alpha < \kappa : \alpha \text{ is Mahlo}\} < \dots < \{\alpha < \kappa : \alpha \text{ is } \alpha\text{-Mahlo}\} < \dots$$

- $o(\aleph_{\alpha+1}) = \alpha + 1$ .
- $o(\kappa) \geq \kappa$  iff  $\kappa$  is (weakly) inaccessible.
- $o(\kappa) > \kappa$  iff  $\kappa$  is Mahlo.
- At  $\kappa \cdot \omega$ , we “catch up” with if we only allow regular reflection points, which would make  $S_{\mu}^{\kappa}$  have rank 0 for all fixed  $\mu < \kappa$ .

# Table of Contents

1 Introduction

2 The Mahlo Order

3 Forcing

# Weakly compact cardinals

Clearly,  $o(\kappa) < (2^\kappa)^+$ . Recall that if  $\kappa$  is weakly compact then  $\kappa$  is  $\kappa$ -Mahlo, so  $o(\kappa) \geq \kappa \cdot 2$ . In fact,  $o(\kappa) > \kappa^+$ . So, if  $2^\kappa = \kappa^+$ , we're in the awkward position:

$$\kappa^+ < o(\kappa) < \kappa^{++}$$

# Weakly compact cardinals

Clearly,  $o(\kappa) < (2^\kappa)^+$ . Recall that if  $\kappa$  is weakly compact then  $\kappa$  is  $\kappa$ -Mahlo, so  $o(\kappa) \geq \kappa \cdot 2$ . In fact,  $o(\kappa) > \kappa^+$ . So, if  $2^\kappa = \kappa^+$ , we're in the awkward position:

$$\kappa^+ < o(\kappa) < \kappa^{++}$$

Meanwhile, if  $2^\kappa > \kappa^{++}$ , can we have e.g.  $o(\kappa) = \kappa^{++}$  or  $o(\kappa) > \kappa^{++}$ ? Test question:

## Question

If  $\kappa$  is weakly compact, must there be a forcing extension with  $o(\kappa) \geq \kappa^{++}$ , all cardinals preserved, and the continuum function untouched except at  $\kappa$ ?

# The Club Domination Order

For  $f, g : \kappa \rightarrow \kappa$ ,  $f \leq^* g$  iff  $\{\alpha < \kappa : f(\alpha) \leq g(\alpha)\}$  contains a club. If  $\text{cof}(\kappa) > \omega$  then this is a well-founded partial order, by countable completeness of the club filter. Let  $\mathcal{V}(\kappa)$  denote its height. Clearly,  $\text{cof}(\mathcal{V}(\kappa)) > \kappa$  and  $\mathcal{V}(\kappa) < (2^\kappa)^+$ .

# The Club Domination Order

For  $f, g : \kappa \rightarrow \kappa$ ,  $f \leq^* g$  iff  $\{\alpha < \kappa : f(\alpha) \leq g(\alpha)\}$  contains a club. If  $\text{cof}(\kappa) > \omega$  then this is a well-founded partial order, by countable completeness of the club filter. Let  $\mathcal{V}(\kappa)$  denote its height. Clearly,  $\text{cof}(\mathcal{V}(\kappa)) > \kappa$  and  $\mathcal{V}(\kappa) < (2^\kappa)^+$ .

- (Donder-Levinski) *Weak Chang's Conjecture*, aka *Club Bounding*:  $\mathcal{V}(\omega_1) = \omega_2$ . This is slightly below an  $\omega_1$ -Erdős – between an ineffable and a measurable – in consistency strength.
- (Donder-Koepeke) For  $\kappa > \omega_1$ ,  $\mathcal{V}(\kappa) = \kappa^+$  implies  $0^\dagger$  (zero-dagger, between a measurable and two measurables in consistency strength) exists. No upper bound is known, to my knowledge.



# Better Bounds on a Weakly Compact Cardinal's Mahlo Rank

The observation of a weakly compact cardinal's Mahlo rank and these facts about  $\mathcal{P}$  leads to a natural conjecture.

## Conjecture

If  $\kappa$  is weakly compact, then  $o(\kappa) \geq \mathcal{P}(\kappa)$ .

The natural way to go about this is a transfinite recursion, translating a  $\leq^*$ -increasing chain of functions into a  $<$ -increasing chain of stationary sets. But I haven't gone through the details yet.

# Forcing

Observe that it's quite easy to change  $\mathcal{P}(\kappa)$  by forcing. Specifically,  $\kappa$ -Hechler forcing can be iterated with  $< \kappa$ -support for  $\gamma$  stages to make  $\mathcal{P}(\kappa)^{V[G]} \geq \mathcal{P}(\kappa)^V + \gamma$  (in fact  $\mathcal{P}(\kappa)^{V[G]} \geq \mathcal{P}(\kappa)^V \cdot (1 + \gamma)$  and much more) while preserving all cardinals and cofinalities (note that the  $\kappa^+$ -cc alone isn't necessarily preserved in infinite-support iterations, we need a form of centeredness).

# Killing Weak Compactness

When trying to build a model with large  $o(\kappa)$  or  $\mathcal{V}(\kappa)$ , it seems possible that  $\kappa$  loses its weak compactness, making it uninteresting in the extension. Some preparations exist to remedy this:

# Killing Weak Compactness

When trying to build a model with large  $o(\kappa)$  or  $\mathcal{V}(\kappa)$ , it seems possible that  $\kappa$  loses its weak compactness, making it uninteresting in the extension. Some preparations exist to remedy this:

- (Laver) If  $\kappa$  is supercompact, there is a  $\kappa$ -cc forcing notion preserving  $\kappa$ 's supercompactness so that, in the extension, any further  $< \kappa$ -directed forcing preserves  $\kappa$ 's supercompactness. But supercompactness feels overkill.

# Killing Weak Compactness

When trying to build a model with large  $o(\kappa)$  or  $\mathcal{V}(\kappa)$ , it seems possible that  $\kappa$  loses its weak compactness, making it uninteresting in the extension. Some preparations exist to remedy this:

- (Laver) If  $\kappa$  is supercompact, there is a  $\kappa$ -cc forcing notion preserving  $\kappa$ 's supercompactness so that, in the extension, any further  $< \kappa$ -directed forcing preserves  $\kappa$ 's supercompactness. But supercompactness feels overkill.
- (Folklore) If e.g.  $V = L$ ,  $\text{Add}(\kappa, \kappa^{++})$  kills  $\kappa$ 's weak compactness. You can remedy this by first forcing with the Easton iteration of  $\text{Add}(\lambda, \lambda^{++})$  for all inaccessible  $\lambda < \kappa$ .

# Killing Weak Compactness

When trying to build a model with large  $o(\kappa)$  or  $\mathcal{V}(\kappa)$ , it seems possible that  $\kappa$  loses its weak compactness, making it uninteresting in the extension. Some preparations exist to remedy this:

- (Laver) If  $\kappa$  is supercompact, there is a  $\kappa$ -cc forcing notion preserving  $\kappa$ 's supercompactness so that, in the extension, any further  $< \kappa$ -directed forcing preserves  $\kappa$ 's supercompactness. But supercompactness feels overkill.
- (Folklore) If e.g.  $V = L$ ,  $\text{Add}(\kappa, \kappa^{++})$  kills  $\kappa$ 's weak compactness. You can remedy this by first forcing with the Easton iteration of  $\text{Add}(\lambda, \lambda^{++})$  for all inaccessible  $\lambda < \kappa$ .
- (Hamkins) In fact, it is possible to arrange for a cardinal's weak compactness to be killed by **any**  $< \kappa$ -closed forcing, the antithesis to Laver's result.

# An Altered Test Question

I'm not sure what preparation to use when trying to increase  $o(\kappa)$ ,  $\mathcal{P}(\kappa)$  by forcing.

The test question becomes interesting if we stipulate that you can't increase  $\mathcal{P}(\kappa)$  while trying to increase  $o(\kappa)$ , e.g. starting with  $\kappa^+ < \mathcal{P}(\kappa) \leq o(\kappa) < \kappa^{++}$  and then obtaining

$$\kappa^+ < \mathcal{P}(\kappa) < \kappa^{++} \leq o(\kappa).$$

# An Altered Test Question

I'm not sure what preparation to use when trying to increase  $o(\kappa)$ ,  $\mathfrak{P}(\kappa)$  by forcing.

The test question becomes interesting if we stipulate that you can't increase  $\mathfrak{P}(\kappa)$  while trying to increase  $o(\kappa)$ , e.g. starting with  $\kappa^+ < \mathfrak{P}(\kappa) \leq o(\kappa) < \kappa^{++}$  and then obtaining  $\kappa^+ < \mathfrak{P}(\kappa) < \kappa^{++} \leq o(\kappa)$ . A natural way of adding thin – thus high Mahlo rank – stationary sets in such an iteration would be considering the filter  $\mathcal{F}$  generated by the stationary sets in the ascending chain we've built so far, and Laver or Mathias forcing relative to  $\mathcal{F}$ .



# Thank you!

However, these increase  $\mathfrak{P}(\kappa)$  and may not be sufficiently iterable with  $< \kappa$  support. Possibly one could generalize some other poset for adding a new real – such as Miller forcing – or come up with an entirely new idea. Maybe I'm missing something obvious.

Thanks for listening.